## **AMENDMENT**

(according to provision of Section 11)

To: Commissioner, Patent Office

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- 1. Indication of International Application: PCT/JP02/02714
- 2. Applicant:

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  - 4. Object of Amendment:
- 20 Specification and Claims
  - 5. Content of Amendment:
  - (1) In the specification, page 4, line 23 to page 5, line
  - 17, delete, "5. A secondary .. anion atom."
  - (2) In the specification, page 5, line 18, change "7" to
- 25 "3";
  - (3) In the specification, page 6, line 25, change "8" to "6";
  - (4) In the specification, page 8, line 7, change "9" to
    "7";
- 30 (5) In the specification, page 9, line 3, change "10" to "8":
  - (6) In the specification, page 9, line 16, change "11" to "9";
- (7) In the specification, page 11, line 13, change "12" to 35 "10";

- (8) In the Claims, page 47, cancel claims 5 and 6.
- 6. List of Attached Documents
- (1) Specification pages 4-11
- 5 (2) Claims page 47

the four nitrogen atoms and two additional ligands, P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups and X represents an arbitrary anion atom.

3. A compound represented by the following general 10 formula (IIIa):

wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands and R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups.

4. A compound represented by the following general formula (IIIb):

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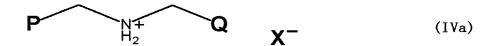
wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands and R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups.

5. A process for the preparation of a monomer represented by the following general formula (Ia):

wherein M represents a transition metal coordinatable with
the four nitrogen atoms and two additional ligands, P
represents a group having, at a terminus thereof through a
hydrocarbyl group, a nitrogen atom coordinatable with a
metal, said hydrocarbyl group being selected from aliphatic

hydrocarbon groups and aromatic hydrocarbon groups, Q represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups and X represents an arbitrary anion atom, said process comprising reacting a compound represented by the following general formula (IIIa):

10 wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands and R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, with a secondary ammonium salt represented by the following
15 general formula (IVa):



wherein P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, Q represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups and X represents an arbitrary anion atom, in a solvent.

25 6. A process for the preparation of a monomer represented by the following general formula (Ib):

wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands, P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups and X represents an arbitrary anion atom, said process comprising reacting a compound represented by the following general formula (IIIb):

wherein M represents a transition metal coordinatable with
the four nitrogen atoms and two additional ligands and R
represents a hydrocarbyl group selected from aliphatic
hydrocarbon groups and aromatic hydrocarbon groups, with a
secondary ammonium salt represented by the following
general formula (IVb):

$$P \xrightarrow{\uparrow} P X^{-}$$
 (IVb)

wherein P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, and X represents an arbitrary anion atom, in a solvent.

7. A polymer represented by the following general formula (IIa):

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wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands, P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, Q represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, X

represents an arbitrary anion atom and n is an integer of 1 or more.

8. A polymer represented by the following general formula (IIb):

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wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands, P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, X represents an arbitrary anion atom and n is an integer of 1 or more.

9. A process for the preparation of a polymer represented by the following general formula (IIa):

wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands, P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, Q represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, X represents an arbitrary anion atom and n is an integer of 1 or more,

said process comprising polymerizing a monomer represented by the following general formula (Ia):

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wherein M represents a transition metal coordinatable with the four nitrogen atoms and two additional ligands, P represents a group having, at a terminus thereof through a hydrocarbyl group, a nitrogen atom coordinatable with a metal, said hydrocarbyl group being selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, Q represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups, R represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups and X represents an arbitrary anion atom.

10. A process for the preparation of a polymer represented by the following general formula (IIb):

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represents a hydrocarbyl group selected from aliphatic hydrocarbon groups and aromatic hydrocarbon groups.

5. cancelled

6. cancelled

7. A process for the preparation of a monomer represented by the following general formula (Ia):